**Shyama Prasad Mukherji College**

**Teaching Plan**

**Course and Year: B. Com (H) III yr**

**Semester: 5 th (ODD) July-Dec 2022**

**Taught individually or shared: individually**

**Paper: BCH-5.4 (b) Financial Market, Institutions and Financial Services in India**

**Faculty: Mrs.Rachna Bhoria**

**No. of Classes** (per week)**:5**

**Teaching Plan**

**Name of the Unit: Unit 1- An Introduction to Financial System and its Component, Unit 2-Financial Market, unit 3- Financial Institution, Unit 4 : Overview of Financial Services Industry Unit 5- Leasing and Hire- Purchase**

**Readings (in APA format):Kataria, Dr. Kalpana and Dr. Rajni, Financial Markets Institutions and Financial Services, Galgotia Publishing Company suggested for Unit 3,4,&5**

**Readings prescribed in the syllabus for each unit: Bhola, L.M. Financial Markets and Institutions, Tata McGraw Hill Publishing company**

**For unit 1 & 2 –Kumar, Dr. Vinod, Financial Markets Institutions and Financial Services.**

**No of classes required to complete the unit (approx.):**

1. **Unit 1: No of Classes- 10**
2. **Unit 2: No of Classes- 15**
3. **Unit 3 : No of Classes-15**
4. **Unit 4: No of Classes-15**
5. **Unit 5: No of Classes-20**

**Course Objective**

**To provide students an overview of financial markets & institutions in India and familiarize them**

**with important fee and fund based financial services**

**Course Learning Outcomes**

**After completing the course, the student shall be able to:**

**CO1: understand the meaning and scope of financial markets as well as institutions in India.**

**CO2: understand the concepts of Money Market and Capital Market**

**CO3: explain Commercial Banking and its Current developments.**

**CO4: explain concept of Non-Banking Financial Companies (NBFC’s)**

**CO5: examine the Financial Services Industry**

**Course Contents**

**Unit-I Introduction**

**An Introduction to Financial System, Components, Financial System and Economic**

**Development, Financial Inter-mediation, An overview of Indian Financial System since 1951,**

**Financial Sector Reforms since liberalization 1990-91.**

**Unit-II: Financial Markets & Capital Markets**

**Financial Markets: Money Market – functions, organisation and instruments. Role of central**

**bank in money market. Indian Money Market – an overview. Capital Markets –Introduction, role**

**and functions. Components of Capital market. Cash markets- Equity and Debt, Depository**

**(NSDL, CDSL). Primary and Secondary Markets –NSE, BSE, NIFTY, SENSEX. Role of Stock**

**Exchanges in India. SEBI and Investor Protection.**

**Unit-III: Financial Institutions I**

**Financial Institutions: Commercial banking – introduction, classification, its role in financing -**

**commercial and consumer, recent developments like MUDRA financing, problem of NPAs,**

**Bankruptcy and insolvency Act, Financial Inclusion.**

**Unit-IV: Financial Institutions II**

**Life and non-life insurance companies in India: public and private. Mutual Funds – Introduction**

**and their role in capital market development. Types of mutual fund schemes (open ended vs**

**close ended, Equity, Debt, Hybrid schemes and ETFs. Non-banking financial companies**

**(NBFCs).**

**Unit-V: Financial Services Industry**

**Overview of financial services industry. Merchant Banking – pre and post issue management,**

**underwriting. Regulatory framework relating to Merchant Banking in India. Leasing and Hire**

**Purchase, Consumer and Housing Finance, Venture Capital Finance, Factoring Services, Credit**

**Rating, Financial Advisory and Portfolio Management Services**

**Methodology of Teaching: Classroom Discussion, Lecture method, News analysis, Case study**

**ASSESSMENT**

**Tentative date of assessments/ assignments (time frame):Tests in the Last week of August and September, and 1 assignment /project / presentation after mid term break of October**

**Criteria of Assessment: 2 Tests and 1 Assignment/ Presentation**